



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Pramod K. Srivastava

Confirmation No.:

8697

Serial No.:

09/657,722

Art Unit:

1642

Filed:

September 8, 2000

Examiner:

Yaen, Christopher H.

For:

PEPTIDES FROM STRESS

Attorney Docket No:

8449-115-999

PROTEIN-PEPTIDE

COMPLEXES

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56 AND §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the Patent Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicant hereby invite the Examiner's attention to reference GE listed on the attached revised form PTO-1449 entitled "List of References Cited by Applicant." A copy of the reference GE is submitted herewith. Applicant respectfully requests that the Examiner review the foregoing reference and that the reference be made of record in the file history of the application.

Submission of this Supplemental Information Disclosure Statement is after the mailing date of the first Office Action on the merits, and therefore, pursuant to 37 C.F.R. §1.97(c)(2), an estimated fee of \$180.00 is due for the filing of this Statement. Please charge the required fee, including any deficiencies in the amount estimated to be due, to Pennie & Edmonds LLP Deposit Account No. 16-1150. A duplicate of this sheet is enclosed for accounting purposes.

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Respectfully submitted,

PENNIE & EDMONDS LLP 1155 Avenue of the Americas New York, New York 10036-2711

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Enclosure

Sheet 1 of 1 Express Mail No.: EV335857707US



ATTY DOCKET NO.

APPLICATION NO

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

8449-115-999 09/657,722 APPLICANT Pramod K. Srivastava FILING DATE GROUP September 8, 2000 1642

U.S. PATENT DOCUMENTS

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| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | |
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| | GE | Liu et al. De novo identification of the ever-elusive gp96-associated peptides. The International Conference on Heat Shock Proteins in Immune Response, Farmington, CT USA Oct. 6-9, 2002, Abstract, p. 31 | | | | |
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| EXAMINER | DATE CONSIDERED |
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.